

## Announcement of CNCA on Further Improving Self-declaration Evaluation Methods for Compulsory Product Certification and Clarifying Relevant Implementation Requirements

In order to implement the reform requirements of SDI (XU: Streamline administration, Delegate powers and Improve regulations and services), promote the smooth implementation of the compulsory product certification (CCC) self-declaration evaluation method, and continuously strengthen the market main body responsibility, after a comprehensive evaluation of the implementation of the CCC self-declaration evaluation method, the CCC self-declaration evaluation method is further improved and the relevant requirements are specified as follows:

First, to improve the implementation rules

Revise and issue the "Compulsory Product Certification Implementation Rules Self-declaration" (No.:CNCA-00C-008: 2019, hereinafter referred to as the "Self-declaration Implementation Rules") to add CCC Self-declaration Implementation Requirements and ODM Model CCC Self-declaration Implementation Requirements based on voluntary product certification results.

Second, to simplify the conversion requirements

In order to facilitate enterprises holding CCC certificates to complete the conversion to self-declaration evaluation in a timely manner, the reporting requirements for product conformity information are simplified.

- (1) The "Compulsory Certification Product Conformity Self-declaration Information Submission System" (http://sdoc.cnca.cn, hereinafter referred to as the system) will automatically provide technical data such as model specifications and type test reports of corresponding products according to the valid CCC certificate number filled in by the enterprise. The enterprise can complete the conversion after confirming the technical data provided by the system is correct, signing the CCC self-declaration and uploading the system.
- (2) Enterprises may be exempted from submitting factory quality assurance capability inspection reports and other technical data on the system during the conversion, but shall not be exempted from the obligation to comply with the relevant requirements of the "Rules for the Implementation of Self-declaration", and shall improve the technical data in the next change of self-declaration information.
- (3) If an enterprise has any objection to the technical data automatically provided by the system, it may contact the license issuing agency, which shall actively cooperate with it.



(4) After the enterprise completes the self-declaration conversion, the license issuing agency shall cancel the corresponding CCC certificate in time.

Three, clear implementation requirements

- (1) For CCC self-declaration converted according to the above simplification requirements, the system will automatically establish an association between the self-declaration and the original CCC certificate. Complete products such as complete motor vehicles and low-voltage switchgear do not need CCC certificates or CCC self-declaration changes because the used parts and components are changed to implement self-declaration.
- (2) When participating in the implementation of CCC self-declaration evaluation methods, relevant conformity assessment agencies shall, in accordance with the basic principles and requirements on unit division in relevant CCC product certification implementation rules, select typical samples for type tests and determine the product scope covered by conformity assessment results; CB certificates/reports provided by enterprises that meet IECEE-CB system requirements and are within the scope of China's accession to IECEE-CB system standards shall be recognized or accepted.
- (3) Enterprises that implement CCC self-declaration based on the certification results, such as non-certification clients, shall obtain the consent of the certification clients before self-declaration.
- (4) In order to facilitate international trade, for products that meet the following conditions at the same time, the certification client may apply to the license issuing agency for the CCC certificate applicable only to this batch of products with the cancelled CCC certificate.
- 1 Shipment before November 1, 2020, and CCC certificate is valid at the time of shipment;
- 2. After November 1, 2020, the CCC certificate will be cancelled on November 1, 2020 due to exceeding the self-declaration conversion period.
- (5) The type test samples required for the implementation of CCC self-declaration shall be subject to the exemption conditions of "products and samples required for scientific research, testing and certification testing" in the Notice of the General Administration of Market Supervision on Explicit Exemption of Requirements for Compulsory Product Certification (Guo Shi Jian Zheng Han [2019] No.153, hereinafter referred to as document No.153); The exempt applicant must be the producer (manufacturer) or authorized representative who implements CCC self-declaration, and shall provide the sample delivery notice and other materials issued by CCC designated laboratory or certification institution according to document 153.
- (6) The technical data submitted by the enterprise on the system are only used for administrative supervision purposes, and shall not be disclosed to the public for any business secrets involving the enterprise.



(7) Enterprises that have completed CCC self-declaration as required may purchase CCC logo of standard specifications from any designated certification institution.

Attachment: Self-declaration of Compulsory Product Certification Implementation Rules (No.:CNCA-00C-008: 2019)